



**SECURITIES AND EXCHANGE
COMMISSION, NIGERIA**

**APPLICATION FORM FOR REGISTRATION OF SPONSORED INDIVIDUALS
UNDER THE INVESTMENT AND SECURITIES ACT 2007**



NOTES

- a) This form should be completed in CAPITAL LETTERS and in duplicate by every individuals seeking registration from the Securities and Exchange Commission as an officer, individual investment adviser, jobber dealing clerk, capital market consultant, principal officer of a securities exchange and other self regulatory organizations.
- b) Any reference to the "Act" in the form relates to the Investments and Securities Act No 29 of 2007 and the Rules and Regulations made there under.
- c) Two recent passport size photographs with full face, bearing at the back, the signature of the applicant and that of a certifying officer who should be an officer, director or partner of the sponsoring firm and commissioner for oath must be attached.

TYPES OF REGISTRATION REQUIRED

- i. Dealing clerk
- i. Jobber
- ii. Partner
- iii. Principal officer of securities exchange/capital trade point
- iv. Principal officer of association of securities dealers
- v. Principal officer of a clearing, settlement, Depository and Custodial agency
- vi. Director ✓

- vii. Officer
- viii. Manager
- ix. Capital Market Consultant
- x. Any other Category (specify) _____

1.b. STATE THE CAPITAL MARKET FUNCTION FOR WHICH YOU REQUIRE REGISTRATION: INVESTMENT ADVISER (CORPORATE)

N.B; PLEASE NOTE THAT, ALL INFORMATION PROVIDED WILL BE SUBJECT TO VERIFICATION BY THE COMMISSION.

2 PERSONAL DETAILS

Name: ELEDU HILARY UCHECHUKWU
Surname other Name(s) Alias

Residential Address: House B5, Gate D, Victoria Crest Estate 1, Off
Orchid Road, Lafiaji, Lekki, Lagos State

Office Address: 6th Floor, 77, Awolowo Road, S.W.Ikoyi, Lagos

Official position in the sponsoring company: Non-Executive Director

Employment (permanent/part time) Permanent E-mail hilary.eledu@gmail.com

Date of Birth: Oct. 21, 1967 place of Birth: Agbor, Ika South State of Birth Delta State

Nationality: Nigerian State of Origin: Delta State

GSM No. 08022234563 Sex (M/F) Male marital status Married

Any visible distinguished mark Nil National Identification Number
Passport/Drivers License No 63096393025

Fresh/Re-issued Not Applicable place of issue Not Applicable

Date of issue 22 May 2024 Expiring Date Not Applicable

Name of father Lazarus Ogala Eledu

Name of mother Beatrice Eledu

Maiden name Odeh

Name of spouse (if married) Oghale Olushola Eledu

Employment of spouse Director/Head of School

Name of spouse Oghale Olushola Eledu

Name of employer Grand Sycamore School

Employer's Address Road 3, Plot 26c, Ikota Villa Estate, Ikota, Lekki, Lagos State

3 BANKERS

Name and Address	Branch	Type of A/C (Current A/C only)	A/C no.	Date Opened
United Bank for Africa Plc	Aboyade Cole, V/I Branch	Current Account	1003184421	More than 20 years
Zenith Bank Plc	Osapa Lekki Branch,	Current Account	1005917456	More than 5 years
BVN: 22247513316				

4 EMPLOYMENT HISTORY

i. Current Employment

Name and address of employer	Date		Part time or full time	Position held
	From	To		
Arnett Consulting 13th Floor, Bookshop House, 50/52, Broad Street, Lagos	January 2017	Present	Full Time	Managing Director

ii. Previous Employment

Name and Address of Employer(s)	Date		Part time or Full Time	Position Held	Reasons (s) For Leaving
	From	TO			
BGL Asset Management Ltd Plot 1061 Abagbon Close, Victoria Island, Lagos	March 2015	June 2015	Full Time	Managing Director	Resigned/Retirement
	Nov. 2013	March 2015	Full Time	Deputy Managing Director (Investment Solutions)	Promotion
BGL Securities Limited 12a, Catholic Mission Street, Lagos Island, Lagos	July 2013	Nov. 2013	Full Time	Executive Director, OTC Market	Promotion / Internal Secondment
BGL Plc Okoi Arikpo House 5 Idowu Taylor Street, Victoria Island, Lagos +234 810 997 6905 info@bglafrika.com	January 2010	July 2013	Full Time	Divisional Head, Principal Investments	Promotion / Internal Secondment

5. EDUCATION

- i. Give the name(s) of Educational Institutions(s) attended, Qualifications Obtained and dates from Secondary School to Date

Name and address of Institution attended from secondary to date	Date		Course of study	Qualification obtained
	From	to		
Lagos Business School, Aja, Lagos,	March 2008	July 2008		Advance Management Program (AMP 20)
University of Lagos, Akoka, Lagos	2007	2008	Master of Business Administration (MBA)	MBA
Araromi Sec. Sch. Sari Iganmu, Lagos, Nigeria	1979	1984		SSCE

Professional qualifications

(if not obtained through regular institutions of learning)

Awarding Institution and Address	Certificate	Year
Institute of Chartered Accountants of Nigeria	Fellow	2013
Institute of Chartered Accountants of Nigeria	Associate	2007

Broker /Dealers Examination

Apart from educational qualifications, every Broker/Dealer is required to sit and pass Broker/Dealer professional examination conducted by the chartered Institute of Stockbrokers or any other recognized professional body.

Date of examination	Subjects	Test scores
NOT APPLICABLE		

6. REFERENCE

Give at least three names but excluding relations and persons associated with the sponsoring firm as referees. Please note that a nominated referee cannot be used by two or more sponsored individuals in the same company.

Name	Address	Occupation
Olusegun Oluseyi Abraham 08033204158 info@richconsultingltd.net	24 Ladipo Oluwole Street, Ikeja, Lagos	Chief Executive Officer, Rich Consulting Limited
Uchechi Agwu 08037739519 uagwu@culturekeepersconsulting.com	No. 1a, Banana Close, off Lateef Salami Street, Ajao Estate, Isolo, Lagos	Management Consultant Culture Keepers Consulting Ltd
Dr. Olumide Emmanuel 08033208813 olumideoo@yahoo.com	Anjorin Street, Off Ikotun-Idimu Road, Idimu, Lagos	Clergy

ANSWER YES OR NO TO EACH OF THE FOLLOWING QUESTIONS, AND IF THE ANSWER TO ANY QUESTION IS YES, SUPPLY FURTHER DETAILS.

7. REGISTRATION/MEMBERSHIP

- Have you ever been denied registration or expelled from membership of any government agency, Securities Exchange or any National Securities Association?
Yes. I was banned from capital market operation for 2years on account of my breaching the SEC Rules, but the ban expired and was lifted in May, 2018
If yes, give reasons _____
- Have you ever been subject to any disciplinary action by any of the bodies mentioned by 7(i) above? Yes, the Commission's APC imposed a 2year ban on me
If yes, give detail sometime in 2016, but the ban expired and was lifted in May 2018

- Has your membership of the institution(s) mentioned in 7(1) at any time been revoked, suspended or cancelled? Yes _____

- iv. Has any Broker/Dealer or any other body with whom you have associated been affected in 7(i) (ii) (iii) above? No

If yes, give names and addresses of such Not applicable

8. CHANGE OF NAME:(through marriage, divorce or court order, etc)

Have you ever had, used, operated under any name other than name mentioned in item 2 of this form or have you ever been known under other names? NO

If yes, give details Not Applicable

9. OFFENCES UNDER THE LAW

- i. Have you been convicted under any federal or state law in Nigeria any offence relating to trading Securities or with any related offence or been a party to any proceeding taken on account of fraud arising out of any trade in or advised in respect of securities? Yes

If yes, give details I was banned for 2years by the Commission's APC for breaching Rule 1(iii) of the Code of Conduct for Capital Market Operators and Their Employees as contained in the SEC Rules and Regulations made pursuant to the Investments an Securities Act 2007

- ii. Have you ever been convicted under any Federal or state law contravention or other criminal offences not noted in 9(i) above? No

If yes, give details Not applicable

10. JUDGEMENT OR GARNISHEE ORDER, BANKRUPCY

Has any judgment or garnishee order been rendered against you or is any judgment or garnishee order outstanding against you in any court of law in Nigeria or elsewhere for damages or other relief of a fraud or for any other reason whatsoever?

No

Give details and attach copy of the discharge order (if discharged)

Not applicable

11. SURETY BOND OR FIDELITY BOND

Have you ever applied for surety bond or fidelity bond and been refused? No

If so, give name and address of bonding company when and why the bond was refused
Not applicable

12. BUSINESS ACTIVITIES

Will you be actively engaged in the business of your sponsoring firm and devote the major portion of your time thereto? Only as a Non-Executive Directive

Are you engaged in any other business, or have any other employment for gain except your occupation with your sponsoring firm? Yes,

If so, attach full details including the full name and address of the business,
Your title or position and the amount of time you devote to the business

I am the Managing Director of Arnett Consulting of 13th Floor, Bookshop House, 50/52, Broad Street, Lagos and I devote majority of my time there.

Are you a partner, Director, Officer, Shareholder or Contributor of capital of partnership or Company other than your sponsoring firm? Having as its principal activity the business of a Broker/Dealer or adviser in Securities? No

13. FOR INVESTMENT ADVISER/FUND/VENTURE FUND MANAGERS ONLY

Are your clients required to maintain an account with you? NO

If so, what's the scope of your authority over such fund? NOT APPLICABLE

14. (a) Do you maintain separate bank account for your client? YES

(b) How regular are your clients giving statement of account? Monthly and on demand

15. Does the nature of your business include investment supervisory services? NO

16. Give the basis upon which you receive compensation for such services.
NOT APPLICABLE

AFFIDAVIT

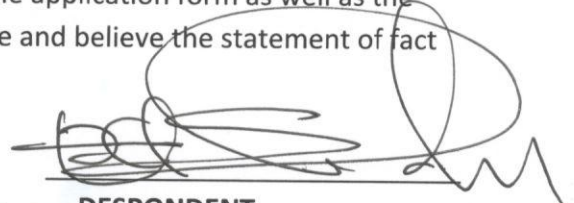
I ELEDU HILARY UCHECHUKWU

NAME IN FULL

Of 6TH FLOOR, 77, AWOLOWO ROAD, S.W. IKOYI, LAGOS

Hereby make an oath and state as follows:

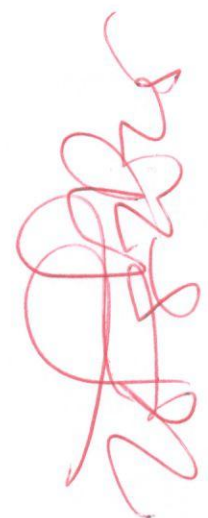
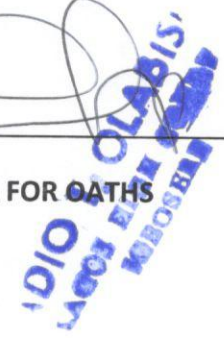
- (1) That I am ELEDU HILARY UCHECHUKWU the applicant
Herein seeking registration with the Securities and Exchange Commission
- (2) That I have read and understood the questions in the application form as well as the
answers given and that to the best of my knowledge and believe the statement of fact
made therein and the attachment are true.

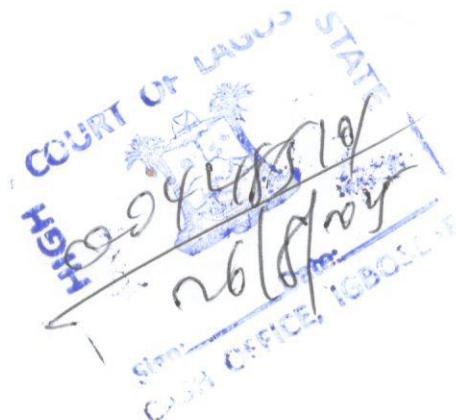

RESPONDENT

SWORN to at the Mossor court, this 26th day of June year 2020

BEFORE ME


COMMISSIONER FOR OATHS



SPONSORING FIRM CERTIFICATION

We hereby certify that the particulars rendered above by the applicant (whose signature is appended below) are to the best of our knowledge, true and correct and we undertake to notify the securities and exchange commission in writing of any material change therein as prescribed by the Act.

BOCTRUST CAPITAL LIMITED

NAME OF SPONSORING FIRM

APPLICANT'S SIGNATURE

SIGNATURE OF DIRECTOR /PARTNER/SECRETARY