



**SECURITIES AND EXCHANGE
COMMISSION, NIGERIA**

**APPLICATION FORM FOR REGISTRATION OF SPONSORED INDIVIDUALS
UNDER THE INVESTMENT AND SECURITIES ACT 2007**



NOTES

- a) This form should be completed in CAPITAL LETTERS and in duplicate by every individuals seeking registration from the Securities and Exchange Commission as an officer, individual investment adviser, jobber dealing clerk, capital market consultant, principal officer of a securities exchange and other self regulatory organizations.
- b) Any reference to the "Act" in the form relates to the Investments and Securities Act No 29 of 2007 and the Rules and Regulations made there under.
- c) Two recent passport size photographs with full face, bearing at the back, the signature of the applicant and that of a certifying officer who should be an officer, director or partner of the sponsoring firm and commissioner for oath must be attached.

TYPES OF REGISTRATION REQUIRED

- i. Dealing clerk
- i. Jobber
- ii. Partner
- iii. Principal officer of securities exchange/capital trade point
- iv. Principal officer of association of securities dealers
- v. Principal officer of a clearing, settlement, Depository and Custodial agency
- vi. Director ✓

- vii. Officer
- viii. Manager
- ix. Capital Market Consultant
- x. Any other Category (specify) _____

1.b. STATE THE CAPITAL MARKET FUNCTION FOR WHICH YOU REQUIRE REGISTRATION: INVESTMENT ADVISER (CORPORATE)

N.B; PLEASE NOTE THAT, ALL INFORMATION PROVIDED WILL BE SUBJECT TO VERIFICATION BY THE COMMISSION.

2 PERSONAL DETAILS

Name: ADEKANBI OLUWASEUN NIYI
Surname other Name(s) Alias

Residential Address: 7B, CHURCH STREET, AKOKA, LAGOS

Office Address: 6th Floor, 77, Awolowo Road, S.W.Ikoyi, Lagos

Official position in the sponsoring company: NON-EXECUTIVE DIRECTOR

Employment (permanent/part time) FULL TIME E-mail seunniyi.adek@gmail.com

Date of Birth: 20/11/1985 place of Birth: IJEBU IGBO State of Birth OGUN

Nationality: NIGERIAN State of Origin: OGUN

GSM No. 08137381889 Sex (M/F) MALE marital status SINGLE

Any visible distinguished mark NIL Passport/Drivers License No EKY31751AB67

Fresh/Re-issued RE-ISSUED place of issue LAGOS

Date of issue 25-11-2021 Expiring Date 20-11-2026

Name of father OLATUNDE ADEKANBI

Name of mother TAIBATU ADEKANBI

4 EMPLOYMENT HISTORY

i. Current Employment

Name and address of employer	Date		Part time or full time	Position held
	From	To		
UNILAG MICROFINANCE BANK LTD, AKOKA, LAGOS	JAN. 2024	PRESENT	FULL TIME	MANAGING DIRECTOR

ii. Previous Employment

Name and Address of Employer(s)	Date		Part time or Full Time	Position Held	Reasons (s) For Leaving
	From	TO			
ADDOSSER INVESTMENT LTD	JULY 2022	DEC. 2023	FULL TIME	GROUP HEAD, STRATEGY & BUS. DEVELOPEMENT	Career Advancement
ADDOSSER MICRO-FINANCE BANK	DEC. 2021	JUNE 2022	FULL TIME	HEAD SPECIALISED PRODUCTS & PARTNERSHIPS	
ADDOSSER MICRO-FINANCE BANK	SEPT. 2018	DEC. 2021	FULL TIME	HEAD, STRATEGY & BUSINESS DEV.	
ADDOSSER MICRO-FINANCE BANK	JAN. 2016	AUG. 2018	FULL TIME	BUSINESS MANAGER	

5. EDUCATION

- i. Give the name(s) of Educational Institutions(s) attended, Qualifications Obtained and dates from Secondary School to Date

Name and address of Institution attended from secondary to date	Date		Course of study	Qualification obtained
	From	to		
Tai Solarin University of Education Ijebu Ode, Ogun State, Nigeria	August 2005	December 2009	Economics	Bachelor of Science (Ed) in Economics
Molusi College, Ijebu Igbo, Ogun State, Nigeria	September 1997	June 2003		SSCE/WASSCE

Professional qualifications

(if not obtained through regular institutions of learning)

Awarding Institution and Address	Certificate	Year
Chartered Inst. of Bankers of Nig.	Microfinance Cert. Prog.	2017 - 2018
Nig. Inst. of Mgt (Chartered)	Proficiency Cert. in Mgt	June 2010 - March 2011

Broker /Dealers Examination

Apart from educational qualifications, every Broker/Dealer is required to sit and pass Broker/Dealer professional examination conducted by the chartered Institute of Stockbrokers or any other recognized professional body.

Date of examination	Subjects	Test scores
NIL	NIL	NIL

6. REFERENCE

Give at least three names but excluding relations and persons associated with the sponsoring firm as referees. Please note that a nominated referee cannot be used by two or more sponsored individuals in the same company.

Name	Address	Occupation
KAZEEM MUDASHIR 08055792594 kmudashir@unilagfmbank.com	Unilag Microfinance Bank Complex, Unilag Main Capus	BANKING
AFEEZ THANI 08034685321 afeez.thani@addosser.com	32 Lewis Street, Lagos Island Lagos	BANKING
YAKUB ENIFEMI OLUWASEUN 08036991126 seunykubu@gmail.com	5, Adekola Balogun Str. Oniru, Lekki, Lagos	BANKING

ANSWER YES OR NO TO EACH OF THE FOLLOWING QUESTIONS, AND IF THE ANSWER TO ANY QUESTION IS YES, SUPPLY FURTHER DETAILS.

7. REGISTRATION/MEMBERSHIP

- i. Have you ever been denied registration or expelled from membership of any government agency, Securities Exchange or any National Securities Association?
NO
 If yes, give reasons NOT APPLICABLE
- ii. Have you ever been subject to any disciplinary action by any of the bodies mentioned by 7(i) above? NO
 If yes, give detail NOT APPLICABLE
- iii. Has your membership of the institution(s) mentioned in 7(1) at any time been revoked, suspended or cancelled? NO

- iv. Has any Broker/Dealer or any other body with whom you have associated been affected in 7(i) (ii) (iii) above? NO

If yes, give names and addresses of such NOT APPLICABLE

8. CHANGE OF NAME:(through marriage, divorce or court order, etc)

Have you ever had, used, operated under any name other than name mentioned in item 2 of this form or have you ever been known under other names? NO

If yes, give details NOT APPLICABLE

9. OFFENCES UNDER THE LAW

- i. Have you been convicted under any federal or state law in Nigeria any offence relating to trading Securities or with any related offence or been a party to any proceeding taken on account of fraud arising out of any trade in or advised in respect of securities? NO

If yes, give details NOT APPLICABLE

- ii. Have you ever been convicted under any Federal or state law contravention or other criminal offences not noted in 9(i) above? NO

If yes, give details NOT APPLICABLE

10. JUDGEMENT OR GARNISHEE ORDER, BANKRUPTCY

Has any judgment or garnishee order been rendered against you or is any judgment or garnishee order outstanding against you in any court of law in Nigeria or elsewhere for damages or other relief of a fraud or for any other reason whatsoever?

NO

Give details and attach copy of the discharge order (if discharged)

NOT APPLICABLE

11. SURETY BOND OR FIDELITY BOND

Have you ever applied for surety bond or fidelity bond and been refused? NO

If so, give name and address of bonding company when and why the bond was refused
NOT APPLICABLE

12. BUSINESS ACTIVITIES

Will you be actively engaged in the business of your sponsoring firm and devote the major portion of your time thereto? NO

Are you engaged in any other business, or have any other employment for gain except your occupation with your sponsoring firm? YES.

If so, attach full details including the full name and address of the business,
Your title or position and the amount of time you devote to the business

I am the Managing Director of Unilag Microfinance Bank Ltd, Unilag Main Campus Akoka, Lagos and I dedicate a major portion of my time thereto

Are you a partner, Director, Officer, Shareholder or Contributor of capital of partnership or Company other than your sponsoring firm? Having as its principal activity the business of a Broker/Dealer or adviser in Securities? NO

13. FOR INVESTMENT ADVISER/FUND/VENTURE FUND MANAGERS ONLY

Are your clients required to maintain an account with you? NOT APPLICABLE

If so, what's the scope of your authority over such fund? NOT APPLICABLE

14. (a) Do you maintain separate bank account for your client? YES

(b) How regular are your clients giving statement of account? Monthly or upon demand

15. Does the nature of your business include investment supervisory services? NO

16. Give the basis upon which you receive compensation for such services.
NOT APPLICABLE

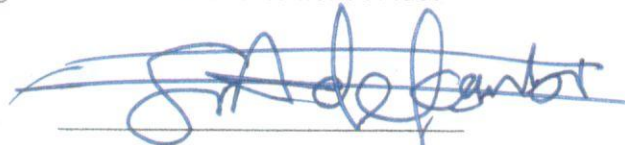
AFFIDAVIT

I ADEKANBI OLUWASEUN NIYI
NAME IN FULL

Of 7B, CHURCH STREET, AKOKA, LAGOS

Hereby make an oath and state as follows:

- (1) That I am ADEKANBI OLUWASEUN NIYI the applicant
Herein seeking registration with the Securities and Exchange Commission
- (2) That I have read and understood the questions in the application form as well as the
answers given and that to the best of my knowledge and believe the statement of fact
made therein and the attachment are true.



DESPONDENT

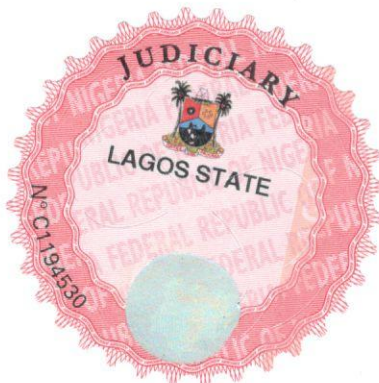
SWORN to at the Mewster court, this 26th day of Aug year 2015

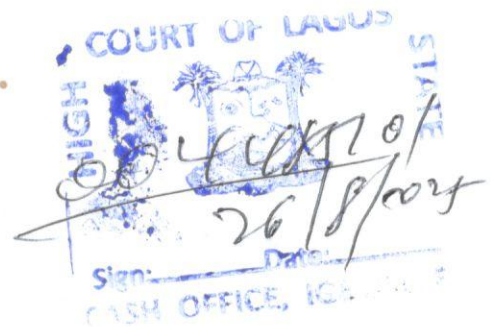
BEFORE ME



COMMISSIONER FOR OATHS

ADIO T. OLABISI
LAGOS HIGH COURT
1980-1981



COURT OF LAGOS

Sign: _____ Date: _____
CASH OFFICE, ICJ LAGOS

SPONSORING FIRM CERTIFICATION

We hereby certify that the particulars rendered above by the applicant (whose signature is appended below) are to the best of our knowledge, true and correct and we undertake to notify the securities and exchange commission in writing of any material change therein as prescribed by the Act.

BOCTRUST CAPITAL LIMITED

NAME OF SPONSORING FIRM



APPLICANT'S SIGNATURE



SIGNATURE OF DIRECTOR /PARTNER/SECRETARY