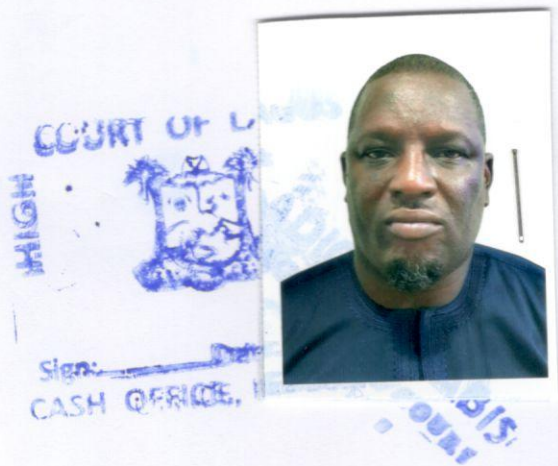




**SECURITIES AND EXCHANGE
COMMISSION, NIGERIA**

**APPLICATION FORM FOR REGISTRATION OF SPONSORED INDIVIDUALS
UNDER THE INVESTMENT AND SECURITIES ACT 2007**



NOTES

- a) This form should be completed in CAPITAL LETTERS and in duplicate by every individuals seeking registration from the Securities and Exchange Commission as an officer, individual investment adviser, jobber dealing clerk, capital market consultant, principal officer of a securities exchange and other self regulatory organizations.
- b) Any reference to the "Act" in the form relates to the Investments and Securities Act No 29 of 2007 and the Rules and Regulations made there under.
- c) Two recent passport size photographs with full face, bearing at the back, the signature of the applicant and that of a certifying officer who should be an officer, director or partner of the sponsoring firm and commissioner for oath must be attached.

TYPES OF REGISTRATION REQUIRED

- i. Dealing clerk
- i. Jobber
- ii. Partner
- iii. Principal officer of securities exchange/capital trade point
- iv. Principal officer of association of securities dealers
- v. Principal officer of a clearing, settlement, Depository and Custodial agency
- vi. Director ✓

- vii. Officer
- viii. Manager
- ix. Capital Market Consultant
- x. Any other Category (specify) _____

**1.b. STATE THE CAPITAL MARKET FUNCTION FOR WHICH YOU REQUIRE
REGISTRATION: INVESTMENT ADVISER (CORPORATE)**

N.B; PLEASE NOTE THAT, ALL INFORMATION PROVIDED WILL BE SUBJECT TO VERIFICATION BY THE COMMISSION.

2 PERSONAL DETAILS

Name: OKUSAGA BABAJIDE 'BOSUN
Surname other Name(s) Alias

Residential Address: F13, MILANO COURT ESTATE, IKATE,
LEKKI, LAGOS

Office Address: 6th Floor, 77, Awolowo Road, S.W.Ikoyi, Lagos

Official position in the sponsoring company: MANAGING DIRECTOR

Employment (permanent/part time) PERMANENT E-mail b.okusaga@boctrustng.com

Date of Birth: 4/3/1979 place of Birth: Lagos Island State of Birth Lagos State

Nationality: Nigerian State of Origin: Ogun State

GSM No. 08020626020 Sex (M/F) Male marital status Married

Any visible distinguished mark Nil National Identification Number
Passport/Drivers License No 50904476113

Fresh/Re-issued Not Applicable place of issue Lagos

Date of issue Not Applicable Expiring Date Not Applicable

Name of father Adenola Okusaga

Name of mother Olapeju Okusaga

Maiden name Alli

Name of spouse (if married) Tinuke Bosun-Okusaga

Employment of spouse Compliance Officer

Name of spouse Tinuke Bosun-Okusaga

Name of employer Boctrust Capital Limited

Employer's Address 6th Floor, 77 Awolowo Road, S.W. Ikoyi, Lagos

3 BANKERS

Name and Address	Branch	Type of A/C (Current A/C only)	A/C no.	Date Opened
Zenith Bank Plc Plot 36, Apapa Oshodi Express- way, Lagos BVN: 22297580814	Trinity	Current Account	1004723768	6/1/2015

4 EMPLOYMENT HISTORY

i. Current Employment

Name and address of employer	Date		Part time or full time	Position held
	From	To		
BOCTRUST CAPITAL LIMITED 6th Floor, 77, Awolowo Road, S.W.Ikoyi, Lagos	January 2022	Present	Full Time	Managing Director

ii. Previous Employment

	Name and Address of Employer(s)	Date		Part time or Full Time	Position Held	Reasons (s) For Leaving
		From	TO			
info@boctrustmfb.com	Boctrust Microfinance Bank 1st Floor 26, Moloney Street, Onikan, Lagos	June 2016	July 2018	Full Time	Founder/Pioneer CEO	Divestment
enquiries@babajideokusaga.com	Babajide Okusaga & Company Ground floor 32 Lawson Street Onikan Lagos.	June 2013	July 2016	Full Time	Partner	To set up Boctrust Microfinance Bank
yinka.lawal@beachwayhomes.com	Beachway Homes Limited 7h Grace Anjous Drive Lekki Ph. 1 Lagos.	Nov. 2012	April 2013	Full Time	Group Head Business Development	End of Contract

5. EDUCATION

- i. Give the name(s) of Educational Institutions(s) attended, Qualifications Obtained and dates from Secondary School to Date

Name and address of Institution attended from secondary to date	Date		Course of study	Qualification obtained
	From	to		
Lagos Business School, Pan-Atlantic University	2014	2014	Owner Manager Programme, Business Administration and Management, General	B.Sc SSCE
Strathmore Business School (SBS) Nairobi, Kenya	2014	2014	Owner Manager Programme, Business Administration and Management, General	
University of Ibadan Ibadan, Oyo State.	1998	2004		
Adeola Odutola College, Ijebu-Ode Ogun State.	1991	1996		

Professional qualifications

(if not obtained through regular institutions of learning)

Awarding Institution and Address	Certificate	Year
Institute of Credit Administration	Fellow	2016
Institute of Directors of Nigeria	Member	2015

Broker /Dealers Examination

Apart from educational qualifications, every Broker/Dealer is required to sit and pass Broker/Dealer professional examination conducted by the chartered Institute of Stockbrokers or any other recognized professional body.

Date of examination	Subjects	Test scores
NOT APPLICABLE		

6. REFERENCE

Give at least three names but excluding relations and persons associated with the sponsoring firm as referees. Please note that a nominated referee cannot be used by two or more sponsored individuals in the same company.

Name	Address	Occupation
Arc Kayode Anibaba kay_ani57@yahoo.com 08077700022	29 Okotie Eboh Close, Ikoyi, Lagos.	Architect
Dr. Fayomi Igho zodibi@yahoo.com 08033541739	C/O Department of Estate Management, Lead City University Oba Otudeka Way, Ibadan,	Lecturer
Atanda Isiaka atandaisiaq@yahoo.com 08034079029	C/O DLM Capital Group 66-68 Alexander Avenue, Ikoyi.	Stockbroker

ANSWER YES OR NO TO EACH OF THE FOLLOWING QUESTIONS, AND IF THE ANSWER TO ANY QUESTION IS YES, SUPPLY FURTHER DETAILS.

7. REGISTRATION/MEMBERSHIP

- i. Have you ever been denied registration or expelled from membership of any government agency, Securities Exchange or any National Securities Association?
NO

If yes, give reasons Not Applicable

- ii. Have you ever been subject to any disciplinary action by any of the bodies mentioned by 7(i) above? NO

If yes, give detail Not Applicable

- iii. Has your membership of the institution(s) mentioned in 7(1) at any time been revoked, suspended or cancelled? NO

- iv. Has any Broker/Dealer or any other body with whom you have associated been affected in 7(i) (ii) (iii) above? NO

If yes, give names and addresses of such Not Applicable

8. CHANGE OF NAME:(through marriage, divorce or court order, etc)

Have you ever had, used, operated under any name other than name mentioned in item 2 of this form or have you ever been known under other names? NO

If yes, give details Not Applicable

9. OFFENCES UNDER THE LAW

- i. Have you been convicted under any federal or state law in Nigeria any offence relating to trading Securities or with any related offence or been a party to any proceeding taken on account of fraud arising out of any trade in or advised in respect of securities? NO

If yes, give details Not Applicable

- ii. Have you ever been convicted under any Federal or state law contravention or other criminal offences not noted in 9(i) above? NO

If yes, give details Not Applicable

10. JUDGEMENT OR GARNISHEE ORDER, BANKRUPCY

Has any judgment or garnishee order been rendered against you or is any judgment or garnishee order outstanding against you in any court of law in Nigeria or elsewhere for damages or other relief of a fraud or for any other reason whatsoever?

NO

Give details and attach copy of the discharge order (if discharged)

Not Applicable

11. SURETY BOND OR FIDELITY BOND

Have you ever applied for surety bond or fidelity bond and been refused? NO

If so, give name and address of bonding company when and why the bond was refused
Not Applicable

12. BUSINESS ACTIVITIES

Will you be actively engaged in the business of your sponsoring firm and devote the major portion of your time thereto? YES

Are you engaged in any other business, or have any other employment for gain except your occupation with your sponsoring firm? NO

If so, attach full details including the full name and address of the business,
Your title or position and the amount of time you devote to the business

Not Applicable

Are you a partner, Director, Officer, Shareholder or Contributor of capital of partnership or Company other than your sponsoring firm? Having as its principal activity the business of a Broker/Dealer or adviser in Securities? NO

13. FOR INVESTMENT ADVISER/FUND/VENTURE FUND MANAGERS ONLY

Are your clients required to maintain an account with you? NO

If so, what's the scope of your authority over such fund? Not Applicable

14. (a) Do you maintain separate bank account for your client? NO

(b) How regular are your clients giving statement of account? Not Applicable

15. Does the nature of your business include investment supervisory services? NO

16. Give the basis upon which you receive compensation for such services.
Not Applicable

AFFIDAVIT

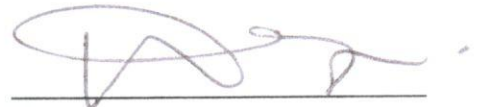
I OKUSAGA BABAJIDE 'BOSUN

NAME IN FULL

Of 6TH FLOOR, 77, AWOLOWO ROAD, S.W. IKOYI, LAGOS

Hereby make an oath and state as follows:

- (1) That I am OKUSAGA BABAJIDE 'BOSUN the applicant
Herein seeking registration with the Securities and Exchange Commission
- (2) That I have read and understood the questions in the application form as well as the
answers given and that to the best of my knowledge and believe the statement of fact
made therein and the attachment are true.



DESPONDENT

SWORN to at the  court, this 26th day of Aug year 2014

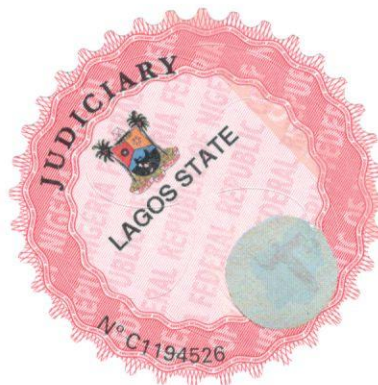
BEFORE ME

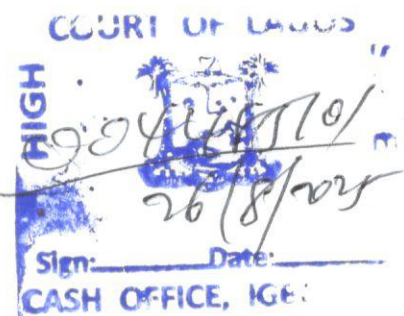


COMMISSIONER FOR OATHS







COURT OF LAGOS

Sign: _____ Date: 26/8/2014
CASH OFFICE, IGB

SPONSORING FIRM CERTIFICATION

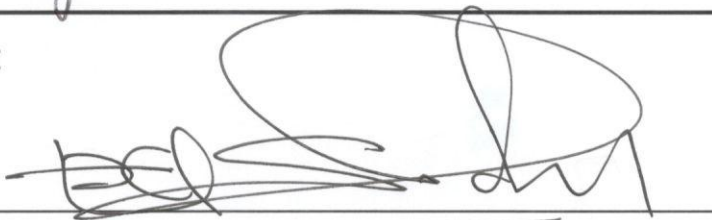
We hereby certify that the particulars rendered above by the applicant (whose signature is appended below) are to the best of our knowledge, true and correct and we undertake to notify the securities and exchange commission in writing of any material change therein as prescribed by the Act.

BOCTRUST CAPITAL LIMITED

NAME OF SPONSORING FIRM



APPLICANT'S SIGNATURE



SIGNATURE OF DIRECTOR /PARTNER/SECRETARY